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| **REPORT TO:** | Business Efficiency Board |
| **DATE:** | 26 November 2014 |
| **REPORTING OFFICER:** | Strategic Director – Policy & Resources |
| **PORTFOLIO:** | Resources |
| **SUBJECT:** | Corporate Risk Management Policy and Risk Register – Progress Commentary |
| **WARDS:** | Borough wide |

## 1.0  PURPOSE OF THE REPORT

1.1  To report on the progress of actions in the Corporate Risk Register for 2014/15.

## 2.0  RECOMMENDATION: That

(1) The progress of actions are noted;

(2) To review the robustness of the Corporate Risk Register and the adequacy of the associated risk management arrangements; and

(3) To make such recommendations to the Executive Board as is necessary and appropriate.

## 3.0  SUPPORTING INFORMATION

3.1  The Council recognises that it has a responsibility to manage both internal and external risks as a key component of good corporate governance

3.2  Risk is defined as being the threat that an event or action will adversely affect an organisation's ability to achieve its objectives and to successfully execute its strategies. Risk Management is defined as the process by which risks are identified, evaluated and controlled

3.3 The attached Risk Management Policy has been reviewed and updated in line with the revised arrangements for Directorate Business Plans requiring annual reviews of the plans at Directorate level to take place in conjunction with Directorate Risk Registers

3.4 The implementation of high-risk mitigation measures on the Directorate Risk Registers are reviewed at mid-year and progress on these are reported to Management Team and Policy and Performance Boards

3.5 This serves to inform the Corporate Risk Register that ensures that the council maximises its opportunities whilst minimising and controlling the associated risks in delivering the council's vision and services for Halton

3.6 The Corporate Register has been reviewed and updated in consultation with internal stakeholders.

3.7 The risks have been grouped in order of priority; in the first instance pertaining to 'Unmitigated Risk Scores' and then 'Mitigated Risk Scores'. The headings in order of priority are:

    i.    Budget Reductions
    ii.    Capacity and Resilience
    iii.    Safeguarding Children and Adults
    iv.    Mersey Gateway
    v.    Community Expectations
    vi.    Architectural Landscapes
    vii.    Partnerships
    viii.    Funding and Income Generation and
    ix.    Fraud

## 4.0 POLICY IMPLICATIONS

4.1 To provide a framework through which effectively manages the actual and potential opportunities and threats that may affect the achievement of the Council's strategic priorities and operational objectives.

4.2 As part of the annual Business Planning process, each Directorate will be refreshing their own Risk Registers and this will be the basis of an annual progress report to members.

## 5.0 OTHER IMPLICATIONS

5.1. Failure to manage corporate risks could lead to a loss of reputation for the Authority.

## 6.0 IMPLICATIONS FOR THE COUNCIL'S PRIORITIES

6.1. Council Priorities - Corporate effectiveness and business efficiency

## 7.0 RISK ANALYSIS

7.1 Failure to review and monitor the performance of the Corporate Risk Register could result in service development opportunities being lost and existing service delivery being compromised.

## 8.0 EQUALITY AND DIVERSITY ISSUES

8.1  Within the risk register there are a number of implications for Equality and Diversity issues, e.g. Budget Reductions and Capacity and Resilience


**9.0  LIST OF BACKGROUND PAPERS UNDER SECTION 100D OF THE LOCAL GOVERNMENT ACT 1972**

There are no relevant background documents under the meaning of the Act.